#### Schizophrenia

- Brain disorder of aberrant synaptic plasticity – "disconnection syndrome"
- Prevalence 1% throughout the world
- Equally affect men and women
- Usually identified in second/third decade of life
- Progressive chronic course
- Complex clinical phenotype: positive, negative, disorganized, cognitive symptoms
- Causes substantial functional impairment

	Schizophrenia
Family studies	Familial inheritance Two parents -50% One parent -10%
Genetics	Concordance rate: monozygotic – 50%; dizygotic- 10%. Common variants (SNPs); CNVs
Age-at-onset	Adolescence/early adulthood
Male/Female	Age-at-onset 2.5y earlier in men
Social-economic status	Low
IQ	Low
Obstetric complications	Yes
Excess of winter/spring birth	Yes
Early childhood trauma	Yes

#### **Environmental factors**

	Schizophrenia	
Urban upbringing	Yes	
Migration	Yes	
Cannabis	Yes	
Advanced parental age	Yes	
Reproductive output	Low	
Brain structural abnormalities	More severe; present in premorbid/prodromal phases	
Cognitive dysfunction	Generalized deficit; presents in prodrome	

# Age of onset and peak of mental disorders



Nat Rev Neurosci (2), & 2008

#### **Course of Schizophrenia**



#### Schizophrenia: inheritance



#### Manhattan plot showing schizophrenia associations



S Ripke et al. Nature 1-7 (2014)

# Subdivision of Symptoms into Three Dimensions

Psychotic

Delusions Hallucinations

Disorganized

Disorganized speech Disorganized behavior Inappropriate affect

Negative

Poverty of speechAvolitionAffective BluntingAnhedonia

# **Types of Hallucinations**

Auditory
Visual
Tactile
Olfactory

Types of Delusions • Persecutory Grandiose Religious Jealous Somatic

# DSM-5 Criteria for Schizophrenia: The Basics

- Characteristic symptoms for one month
- Social/Occupational Dysfunction
- Overall Duration > 6 months
- Not attributable to mood disorder
- Not attributable to substance use or general medical condition

#### **Differential Diagnosis**

- Mood Disorders
- Nonpsychotic personality disorders
- Substance-induced psychotic disorders
- Psychotic disorders due to a general medical condition (i.e., "organic" disorders)

# Drugs That May Induce Psychosis

- Amphetamines
- Marijuana
- Hallucinogens
- Cocaine
- Cannabis

# Medical Conditions That May Present with Psychosis

- Temporal lobe epilepsy
- Tumor
- Stroke
- Trauma
- Endocrine/metabolic abnormalities
- Infections
- Multiple Sclerosis
- Autoimmune diseases

The Dopamine Hypothesis

- Psychosis (schizophrenia?) is due to excessive dopaminergic tone
- Psychotic symptoms are relieved by blockade of dopamine receptors with neuroleptic medications

#### Schematic diagram summarizing the findings from our meta-analyses of dopamine function in schizophrenia



Howes, O. D. et al. Arch Gen Psychiatry 2012;0:archgenpsychiatry.2012.169v1-11



**Figure 4.** This figure shows the relative affinities of drugs for psychosis and mood for D2 receptors compared to the affinity of dopamine itself for D2 receptors. All agents have equal or higher affinity for the D2 receptor than does dopamine itself. Many agents have higher or much higher affinities for D2 compared to dopamine.



**Figure 7.** Antagonist/partial agonist effects at D2 dopamine receptors are illustrated here. These are well-known antipsychotic actions at D2 receptors in the nucleus accumbens, and also motor side effects at D2 receptors in the motor striatum.



Brain Regions Showing Replicable Neuropathological Abnormalities

Temporolimbic regions

- Thalamus
- Prefrontal cortex

#### Neuropil in Frontal Cortex



Normal



Schizophrenic

Selemon, Rajkowska & Goldman-Rakic, 1995

#### SCHIZOPHRENIA IN MONOZYGOTIC TWINS Pair no. 1: 27 year old females





# Criterion A: Characteristic Symptoms

- At least two of the following, each present for a significant portion of time during a one month period (or less if successfully treated):
- (1) delusions
- (2) hallucinations
- (3) disorganized speech (e.g., frequent derailment or incoherence)
- (4) grossly disorganized or catatonic behavior
- (5) negative symptoms, I.e., affective flattening, alogia, or avolition

#### **Gender Differences**

- Males have an earlier age at onset, a poorer premorbid history, more negative symptoms, a poorer outcome, and more prominent brain abnormalities as measured in neuroimaging studies
- Women have more prominent affective symptoms and a better outcome

# Important Epidemiolgical Observations

- Prevalence is not highly variable over time or over geographical areas
- Found in all cultures
- More common and/or severe in males than females
- Persists in the population despite decreased fertility

# Bleuler's Fundamental Symptoms

- Associations
- Affective Blunting
- Avolition
- Autism
- Ambivalence
- Attention

# Schneider: The Psychotic Experience

- Interested in pathognomonic symptoms
- "First Rank Symptoms" (FRS)
  - E.g., voices commenting
  - Voices arguing
    - **Thought insertion**
- Involve a loss of the sense of autonomy of self, or "ego boundaries"

#### **Characteristic Symptoms**

- Schneider: specific types of delusions and hallucinations
- Bleuler: fragmented thinking, inability to relate to external world
- Kraepelin: emotional dullness, avolition, loss of inner unity

#### Criterion B: Social/Occupational Dysfunction

- For a significant portion of the time since the onset of the disturbance, one or more major areas of functioning such as work, interpersonal relations or self-care is markedly below the level achieved prior to the onset
- OR when the onset is in childhood or adolescence, failure to achieve expected level of interpersonal, academic, or occupational achievement

### Criterion C: Overall Duration

- Continuous signs of the disturbance persist for at least six months
- This six-month period must include at least one month of symptoms that meet criterion A (i.e., active phase symptoms), and may include periods of prodromal or residual symptoms
- During these prodromal or residual period, the signs of the disturbance may be manifested by only negative symptoms or two or more symptoms listed in criterion A present in an attenuated form (e.g.

odd beliefs, unusual perceptual experiences)

# Criterion D: Schizoaffective and Mood Disorder Exclusion

- Schizoaffective Disorder and Mood Disorder with Psychotic Features have been ruled out because of either:
  - (1) No major depressive or manic episodes have occurred concurrently with the active phase symptoms; or
  - (2) If mood episodes have occurred during active phase symptoms, their total duration has been brief relative to the duration of the active and residual periods

# Criterion E: Substance / General Medical Condition Exclusion

The disturbance is not due to the direct effects of a substance (e.g., drugs of abuse, medication) or a general medical condition

# DSM 5: Categories of Psychosis

- Schizophreniform Disorder
- Schizophrenia
- Brief Psychotic Disorder
- Schizoaffective Disorder
- Delusional Disorder
- Shared Psychotic Disorder
- Psychotic Disorder due to a General Medical Condition
- Substance-Induced Psychotic Disorder
- Psychotic Disorder Not Otherwise Specified

#### Poor Outcome: Predictors

- Prominent negative symptoms
- Early age of onset
- Insidious onset
- Poor premorbid adjustment
- Low educational achievement
- Low parental social class
- Male gender



# Lower Social Class in Schizophrenia

- Consistently observed in patients
- Lower social class is a result—not a cause—of the illness
- Social class of parents does not differ from the general population
- Lower social class is due to "downward drift," not to social deprivation, poor nutrition, or inadequate access to health care

# **Genetic Questions**

- Is the disorder familial?
- Relative contributions of genes and environment
- Mode of transmission
- Location of gene
- Function and products of gene
- Role of the products in illness mechanisms
## **Genetic Methods**

- Family history studies
- Family studies
- Twin studies
- Adoption studies
- Linkage and association studies, candidate genes
- Molecular genetics—functional genomics, proteomics

#### Manhattan plot showing schizophrenia associations



S Ripke et al. Nature 1-7 (2014)

## Family History and Family Studies

- Provide evidence for a modest level of familial transmission
- Morbid risk for parents: 5.6%
- Morbid risk for siblings: 10.1%
- Morbid risk for offspring: 12.8%
- Second degree relatives: 2.4-4.2%

## Possible Reasons for Lack of Measurable Abnormalities

- Problems in defining the phenotype
- No single pathophysiology
- Due to reversible neurochemical processes
- Not accessible using traditional neuropathology tools
- In areas where neuropathologists have not yet looked
- Due to abnormalities in connectivity

# Hippocampal Atrophy in Schizophrenia



#### **Patients**



#### **Thalamic Nuclei**



A Neurodevelopmental Disorder: Supporting Evidence from Neuropathology

- Absence of gliosis
- Abnormal cytoarchitecture
- Visible markers of neurodevelopmental abnormalities such as cavum septi pellucidi

## **Classified Images**





#### Continuous

Discrete

#### MR Studies: Brain Abnormalities

- Decreased temporal lobe size
- Decreased frontal lobe size
- Decreased hippocampal size
- Decreased thalamic size
- Gyral decreases (superior temporal gyrus, ventral frontal gyri)
- General and regional decreases in gray matter volume

### A Neurodevelopmental Brain Disease

- Most brain abnormalities are present at onset: e.g., decrease in total brain tissue
- Occasional evidence of defects in neuronal migration: gray matter heterotopias
- Midline abnormalities: cavum septi pellucidi, dysgenesis of the corpus callosum, ventricular enlargement

#### Increased Blood Flow in Striatum due to Chronic Dopamine Blockade by Haloperidol



# **Functional Imaging Tools**

- Single Photon Emission Computed Tomography (SPECT)
- Positron Emission Tomography (PET)
- Functional Magnetic Resonance (fMR)

#### **Conclusions from PET Studies**

- Schizophrenia is not a disease of a single brain region
- Areas of abnormality vary depending on the task and the nature of current symptoms
- Schizophrenia affects distributed circuitry throughout the brain

# The fMR Blood Flow Signal



#### Verbal Fluency



#### **Patients**



#### The N-Back Task for fMR

**Experimental Task (2-Back): Remember the Probe and Monitor for It** 



#### 2-Back Task in Normals





Bilateral dorsolateral frontal
Bilateral parietal
Anterior cingulate

## 2-Back Task in Schizophrenia (unmedicated)



Blood flow markedly decreased or absent in regions used by normals
Main activation is anterior cingulate



### **Sensory Gating**

- A problem in filtering or gating information
- Leads to the subject experience of being bombarded by stimuli
- Explains most symptoms—e.g., confusion of internal and external stimuli would cause delusions and hallucinations
- Supported by neurophysiological studies of prepulse inhibition

## **Cognitive Dysmetria**

- A defect in coordinating mental activity
- Due to disturbed functional connectivity between the cortex and subcortical regions (thalamus and cerebellum)
- Leads to functional and cognitive misconnections
- Explains diversity of symptoms (e.g., misconnecting a perception and its meaning might lead to delusions and hallucinations)
- Supported by functional imaging studies



#### Simplified Summary of Various Anatomical Refinements

#### of the Dopamine Hypotheses of Schizophrenia

Region	DLPFC	VST	AST
Classical Hypothesis (1960–1990)	-	Increased DA (associated with psychosis)	Normal DA
First Revision (1990– 2010)	Decreased DA (associated with cognitive impairment)	Increased DA (associated with psychosis)	Normal DA
Second Revision (2010–?)	Decreased DA (associated with cognitive impairment)	Normal to Decreased (associated with negative symptoms)	Increased DA (associated with psychosis)

AST, associative striatum; DA, dopamine; DLPFC, dorsolateral prefrontal cortex; VST, ventral striatum

Laruelle, Biol psychiatry 2013;74:80-81

#### Schematic diagram summarizing the findings from our meta-analyses of dopamine function in schizophrenia



Howes, O. D. et al. Arch Gen Psychiatry 2012;0:archgenpsychiatry.2012.169v1-11





Multiple hits interact to result in (1) striatal dopamine dysregulation to alter (2) the appraisal of stimuli and resulting in psychosis, whilst current antipsychotic drugs (3) act downstream of the primary dopaminergic dysregulation.

	Schizophrenia	Bipolar disorder
Family studies	Shared inheritance	Shared inheritance
Genetics	Shared common variants (SNPs); more CNVs	Shared common variants (SNPs
Age-at-onset	Adolescence/early adulthood	Adolescence/early adulthood
Male/Female	Age-at-onset 2.5y earlier in men	Earlier in men
Social-economic status	Low	High
IQ	Low	High
Obstetric complications	Yes	No
Excess of winter/spring birth	Yes	No
Early childhood trauma	Yes	No

	Schizophrenia	Bipolar disorder
Urban upbringing	Yes	No
Migration	Yes	No
Cannabis	More	Less
Advanced parental age	Yes	No
Reproductive output	Low	Normal
Brain structural abnormalities	More severe; present in premorbid/prodro-m al phases	Less prominent
Cognitive dysfunction	Generalized deficit; presents in prodrome	Less severe

The Essence of Schizophrenia Originally called "dementia praecox" Produces severe incapacity – "dementia" Typically begins in adolescence - "praecox"

# Kraepelin: Course and Outcome

- Split "dementia praecox" from manic-depressive illness
- Early onset
- Marked deterioration
- Chronic course
- Diversity of signs and symptoms
- Importance of volition and affect

## Fundamental Questions about Schizophrenia

- What are the characteristic symptoms?
- What are the boundaries of the concept?
- Is the disorder a single illness or multiple disorders?
- If multiple, what are the subtypes?

# Lifetime Prevalence

- What proportion of the population will develop the disorder at some time during their lifetime?
- Perhaps the most important statistic for schizophrenia because of its inherent chronicity
- Prevalence 0.30-0.66% narrow diagnostic category of schizophrenia
- Prevalence 2.3% schizophrenia and related psychoses (e.g., delusional, catch-all category of NOS)
- Prevalence **3.5%** broader category of psychotic disorders including schizophrenia and related disorders, substance-induced psychotic disorders and bipolar disorder

**Figure 4.** This figure shows the relative affinities of drugs for psychosis and mood for D2 receptors compared to the affinity of dopamine itself for D2 receptors. All agents have equal or higher affinity for the D2 receptor than does dopamine itself. Many agents have higher or much higher affinities for D2 compared to dopamine.



**Figure 5.** This figure shows the relative affinities of drugs for psychosis and mood for D3 receptors compared to the affinity of dopamine itself for D3 receptors. All but 2 agents have affinities for D3 within 1 order of magnitude higher or lower than does dopamine itself. One agent has very much higher affinity for D3 than does dopamine, namely cariprazine; 1 agent has somewhat higher affinity, namely blonanserin.





**Figure 7.** Antagonist/partial agonist effects at D2 dopamine receptors are illustrated here. These are well-known antipsychotic actions at D2 receptors in the nucleus accumbens, and also motor side effects at D2 receptors in the motor striatum.



**Figure 8.** Antagonist/partial agonist effects at D3 receptors are illustrated here. Theoretically, D3 actions disinhibit dopamine release in the prefrontal cortex, which could improve negative symptoms, cognition, and mood.



**Figure 9.** Three classes of drugs that bind to dopamine receptors. Eight agents bind predominantly to D2 receptors alone, 3 agents bind as well to D1 receptors, and 2 agents bind D3 receptors as well as D2 receptors.


**Figure 6.** Antagonist effects at D1 dopamine receptors are illustrated here. These include reducing dopamine neurotransmission in the prefrontal cortex, and theoretically causing cognitive dysfunction by "de-tuning" D1 receptor activity.

