

Institute of financial and economic security



Financial investigation

Made by _____

17 of June 2012



Menu of main points

- Leads and Background Information
- Financial Investigation (4 steps)
- Conclusion
- Case Review

Step 1. Stage 1: an article in the RIA news

Рубрика: Экономика

Приморская «обналичка»



В Приморском крае пресечена деятельность организованной преступной группы, специализировавшейся на незаконных операциях по обналичиванию денег. Как сообщил сотрудник пресс-службы ГУ МВД России по региону, целый год двенадцать жителей Приморского края занимались незаконным бизнесом по предоставлению услуг и операций по инкассированию и переводу безналичных денежных средств в наличные.

За время преступной деятельности через счета фирм-однодневок ими было проведено более 7 млрд рублей. Услугами обналичников пользовались различные коммерческие структуры Владивостока, Уссурийска и ряда других городов Приморского края, скрывающие доходы, подлежащие налогообложению.

В ходе обысков изъяты печати 22 фирм-однодневок, чековые книжки, клиентская база данных, бухгалтерские документы. Трое наиболее активных членов преступной группы, среди которых и 43-летний организатор, житель Уссурийска Копейкин Андрей Сергеевич, задержаны 7 декабря, решается вопрос об аресте. Заморожены счета членов данной преступной группы. В отношении организатора выяснено, что он также является одним из руководителей фирм: ООО «Интербизнесконсалтинг» и ООО «Поставка-ДВ», а также входит в совет директоров ООО «Проектное бюро-IT». В данное время идет проверка финансовой отчетности этих организаций. По факту незаконной банковской деятельности возбуждено уголовное дело.

Теги: экономика, отмывание денег

15:48 9/12/2011

Фото: РИА Новости



Step 1. Stage 2: two hypotheses

Evidence / Red flags	Hypothesis	Information Requested	Information Received
«43-летний организатор, житель Уссурийска Копейкин Андрей Сергеевич»	“Kopeykin”	Data from EGRUL database	Nothing have been found
«также является одним из руководителей фирм: ООО «Интербизнескон-салтинг» и ООО «Поста-вка-ДВ», ООО «Проектное бюро-IT»	“Shell firms”	Data from EGRUL and FBD_RFM databases	Information about “Postavka-DV” and its contractors



Step 1. Stage 3: search in the DBs

EGRUL Database

INN_FL	NAME	ADR	POSITION	NAIMEN_ORG
253681329765	ТИХОНОВ ПАВЕЛ ПЕТРОВИЧ	г. Владивосток. Океанский пр-кт. 178	УЧРЕДИТЕЛЬ	ООО "ПОСТАВКА-ДВ"

FBD_RFM Database

DATA	SMV	DESCR	NAMEU_PL	NAMEU_POL
10.10.2010	90000000	ВЫДЕЛЕНИЕ СУБСИДИИ СОГЛАСНО ПП РФ от 31.12.2009 N1158	УФК по Приморскому краю	ООО "ПОСТАВКА-ДВ"
15.10.2010	90000000	ЗАКУПКА МЕДОБОРУДОВАНИЯ МРТ/MRI 750w	ООО "ПОСТАВКА-ДВ"	HEALTH-TECH DV.LTD
10.11.2010	15000000	ОДНОЙ ИЗ СТОРОН ЯВЛЯЕТСЯ ЛИЦО, ВЛАДЕЮЩЕЕ СЧЕТОМ	Emprise Services plc	ЖИРНОВ АЛЕКСАНДР ВИКТОРОВИЧ



Step 1. Stage 4: hypotheses testing

Hypotheses Testing		
Evidence	Hypotheses	
	“Kopeykin”	“Shell firms”
The article	C	C
The information from the database	I	C*
Confirmed?	NO	YES

* We have found the records only about 1 of 3 firms: “Postavka-DV”



Step 2. Stage 1: analysis of initial documents

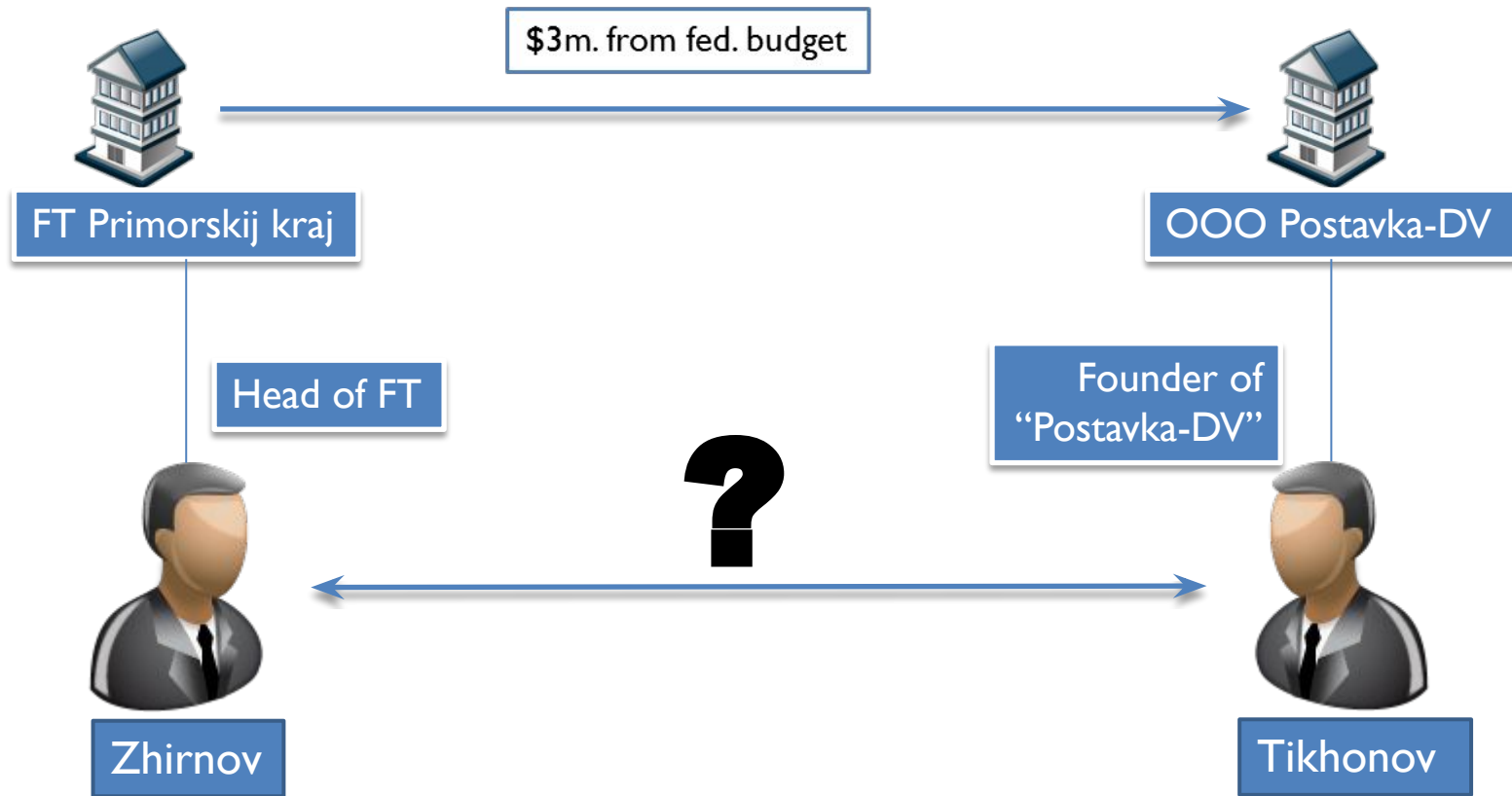
FBD_RFM Database

DATA	CODVAL	SM	NAMEU_PL	DESCR	NAMEU_POL
10.10.2010	810	90000000	УФК по Приморскому краю	ВЫДЕЛЕНИЕ СУБСИДИИ СОГЛАСНО ПП РФот31.12.2009 N1158	ООО "ПОСТАВКА-ДВ"

EGRUL Database

INN_FL	NAME	ADR	POSITION	NAIMEN_ORG
253681329765	ТИХОНОВ ПАВЕЛ ПЕТРОВИЧ	г.Владивосток, Океанский пр-кт, 178	УЧРЕДИТЕЛЬ	ООО "ПОСТАВКА-ДВ"
253602064581	ЖИРНОВ АЛЕКСАНДР ВИКТОРОВИЧ	г.Владивосток, Океанский пр-кт, 176	РУКОВОДИТЕЛЬ	Управление Федерального казначейства по Приморскому краю

Step 2. Stage 2: new objects and relationships



Step 2. Stage 3: construction of new hypotheses



Evidence / Red flags	Hypothesis	Information Requested	Information Received
Federal Treasury gave a huge sum of money to Postavka-DV	Affiliation of the founder “Postavka-DV” and head of the Federal Treasury	Data on joint flights	Response from Transport Police
	No affiliation of the founder “Postavka-DV” and head of the Federal Treasury	Data on joint flights	Response from Transport Police

Step 2. Stage 4: response from Transport Police

Содержание ответа

По запросу проверки Хз наличия факта аффилированности через совместные перелёты физических лиц, Жирного Александра Викторовича и Тихонова Павла Петровича было выявлено следующее:

- 1) 22.02.2007 г. Тихонов Павел Петрович, паспорт 2501 2085383, осуществлял перелёт совместно с Жирновым Александром Викторовичем, паспорт 2546 9034219, рейсом VA301 Владивосток (VVO)-Сочи(AER) авиакомпании «Владивосток авиа». Бронирование и покупка билетов, оформленных на указанные паспорта, осуществлялись с одного IP –адреса.
- 2) 9.03.2007 данными физическими лицами осуществлялся обратный перелёт рейсом VA302 Сочи(AER) – Владивосток (VVO) авиакомпании «Владивосток авиа».

По результатам проведенной проверки, представленным на рисунках1-2, можно полагать о наличии аффилированности между запрашиваемыми физическими лицами.

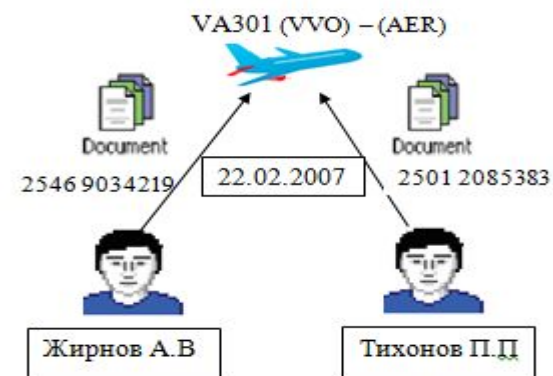


Рисунок 1

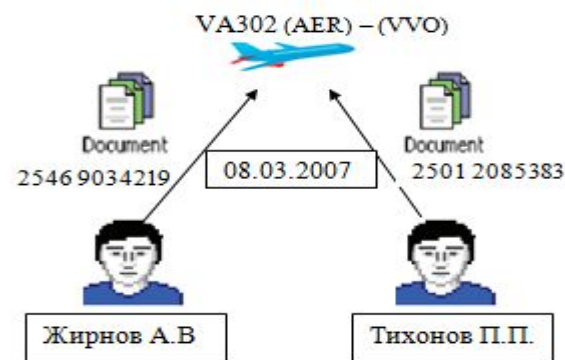
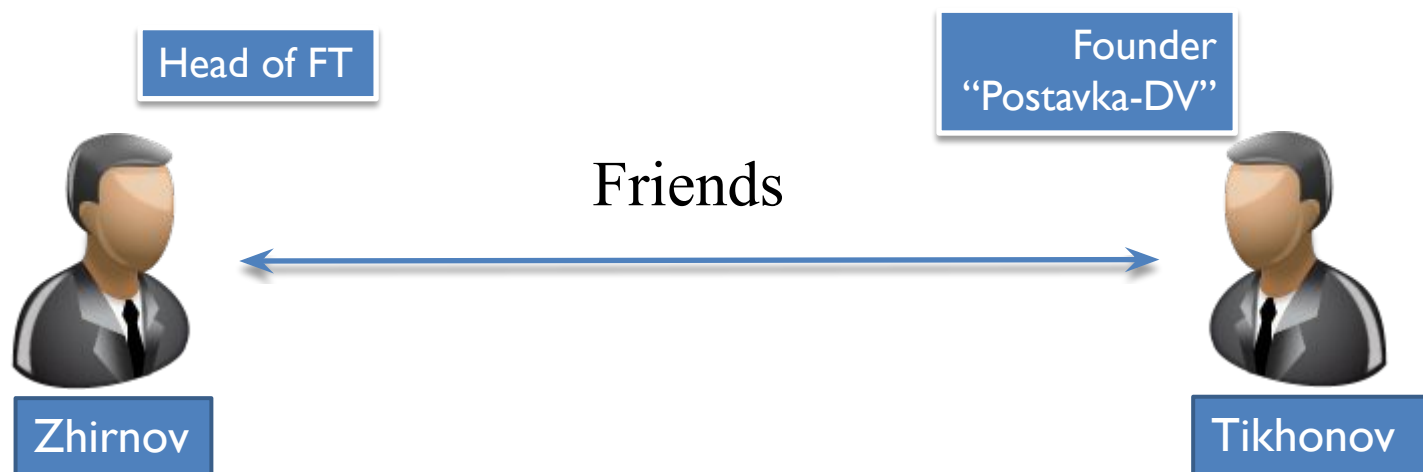


Рисунок 2



Step 2. Stage 5: new objects and relationships





Step 2. Stage 6: hypotheses testing

Hypotheses Testing		
Evidence/Red Flags	Hypotheses	
	Affiliation of the founder “Postavka-DV” and head of the Federal Treasury	No affiliation of the founder “Postavka-DV” and head of the Federal Treasury
Initial documents	C	C
Request additional documents	C	I
Confirmed?	YES	NO

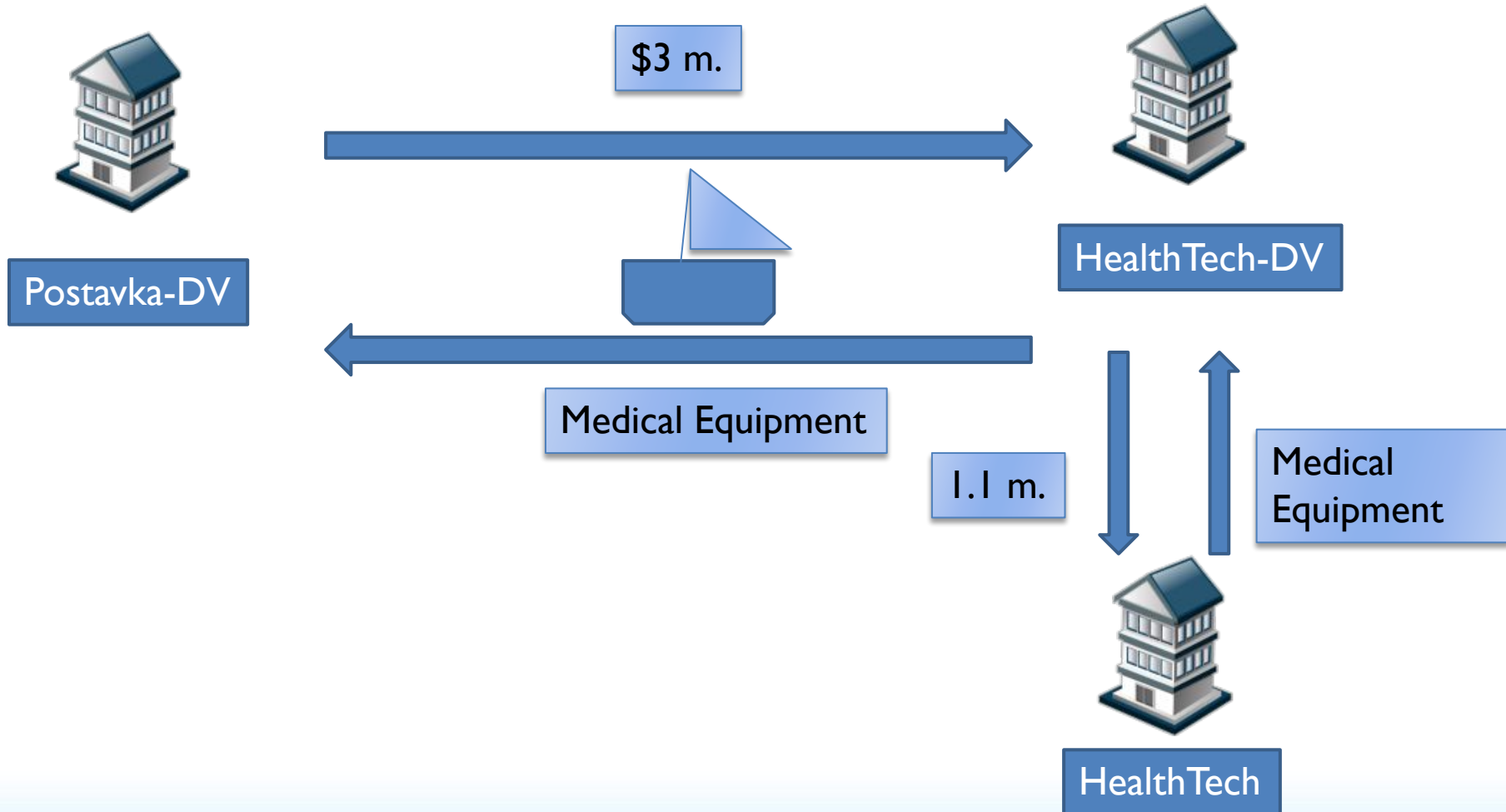


Step 3. Stage 1: construction of new hypotheses

Evidence / Red flags	Hypothesis	Information Requested	Information Received
Federal Treasury gave a huge sum of money to Postavka-DV, their heads are friends	Postavka-DV and HealthTech-DV are suspicious intermediary companies that launders money for the supply of medical equipment	Operations of HealthTech-DV	Response from FIU of USA
	Postavka-DV and HealthTech-DV are not suspicious intermediary companies that launders money for the supply of medical equipment	Operations of HealthTech-DV	Response from FIU of USA



Step 3. Stage 3: visualization of the relations





Step 3. Stage 4: hypotheses testing

Hypotheses Testing

Evidence/Red Flags	Hypotheses	
	Postavka-DV and HealthTech-DV are suspicious	Postavka-DV and HealthTech-DV are not suspicious
Initial documents	-	-
Request additional documents	C	I
Confirmed?	Yes	No



Step 3. Stage 5: construction of new hypotheses

Hypothesis	Information Requested	Information Received
HealthTech is suspicious intermediary company that launders money for the supply of medical equipment	Financial transactions of HealthTech	Response from FIU of USA
HealthTech is not a suspicious company	Financial transactions of HealthTech	Response from FIU of USA



Step 3. Stage 6: request for residency required information

Content of the response

Health Tech LTD is registered on September 28, 2001 in Detroit, state Michigan, USA. The founder of the company is Jim Hendrix, born on 06.08.1964 in USA, Portland.

American passport: 358457107 issued by department of Oregon

Health Tech LTD is an official supplier of medical equipment from General Electric.

10/22/2010 received \$1,100,000 from HealthTech-DV. LTD for 2 MRIs

10/30/2010 paid \$1,000,000 to GE office in Detroit for 2 MRIs



Step 3. Stage 7: hypotheses testing

Hypotheses Testing

Evidence/Red Flags	Hypotheses	
	HealthTech is suspicious intermediary company that launders money for the supply of medical equipment	HealthTech is not suspicious company
Initial documents	-	-
Request additional documents	I	C
Confirmed?	NO	YES

Step 4. Stage 1: new objects and relationships



HealthTech-DV



Federal



Zhirnova C.



Zhirnov



Step 4. Stage 2: construction of new hypotheses

Evidence / Red flags	Hypothesis	Information Requested	Information Received
Zhirnov is a head of Federal Treasury. Zhirnova is a head of HealthTech-DV	Zhirnova and Zhirnov are relatives and have a conspiracy	Operations of British Services, operations of it's contractors	Response from FIU of UK
	Zhirnova and Zhirnov are not connected	Operations of British Services, operations of it's contractors	Response from FIU of UK

Step 4. Stage 3: response on required information



Content of the response

British Services LTD is registered on May 05, .

<...>

Mr. Pavel Tikhonov manages the bank account of British Services LTD. This account is opened in FirstBank Virgin Islands (SWIFT CODE: FBPRPRSJ). Mr. Tikhonov was born in , on 12.25.1972

<...>

10/30/2010 received \$1,650,000 from **Health Tech-DV LTD** for informational services

10/31/2010 sent \$ 500,000 to the account in Russian Bank **INTEGRO** (SWIFT CODE: COBIRUMM) , which owner is Zhirnov Alexander

11/01/2010 sent \$250,000 to the account in Swiss bank **Hindujabank.LTD** (SWIFT CODE:ABSGCHGG), which owner is Zhirnova Catherine

11/01/2010 sent \$ 850,000 to the account in Swiss bank **Hindujabank.LTD** (SWIFT CODE:ABSGCHGG), which owner is Zhirnov Alexander

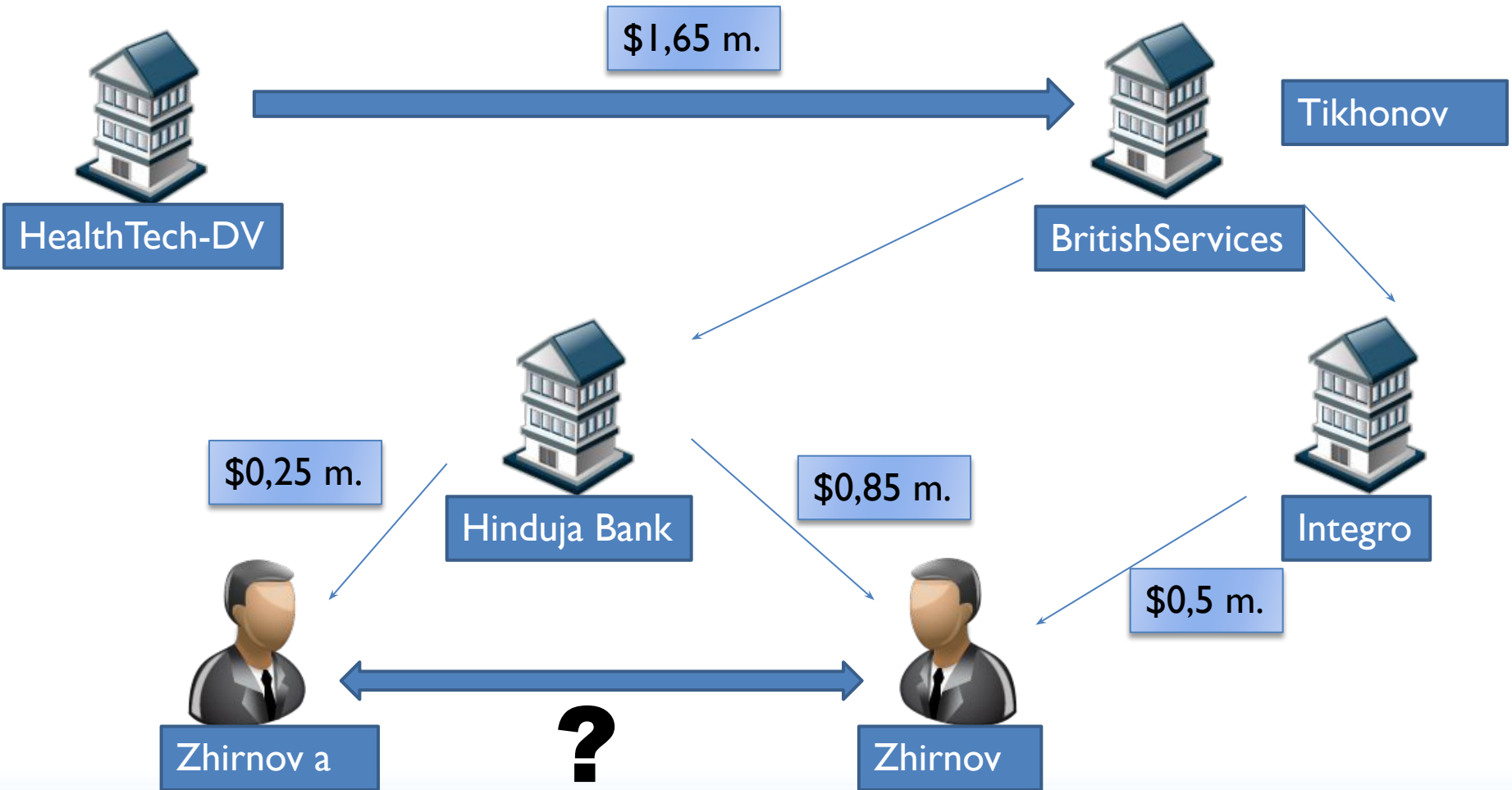


Step 4. Stage 4: hypotheses testing

Hypotheses Testing

Evidence/Red Flags	Hypotheses	
	Zhirnova and Zhirnov are relatives and have a conspiracy	Zhirnova and Zhirnov are not connected
1. The same surname	C	C
2. Federal Treasury and HealthTech-DV are connected through Postavka-DV	C	C
3. British Services transferred money to Zhirnov's and Zhirnova's accounts	C	C

Step 4. Stage 5: new relationships





Step 4. Stage 6: new evidence

Evidence / Red flags	Hypothesis	Information Requested	Information Received
British Services transferred money to Zhirnov's and Zhirnova's accounts	Zhirnova and Zhirnov are relatives and have a conspiracy	Information about their accounts in Hindujabank.LTD	Response from FIU of Switzerland
	Zhirnova and Zhirnov are not connected	Information about their accounts in Hindujabank.LTD	Response from FIU of Switzerland



Step 4. Stage 7: request for required information

Content of the response

In accordance with the Federal Act of 10 October 1997 on Combating [Money Laundering](#) and [Terrorist Financing](#) in the Financial Sector (Anti-Money Laundering Act, AMLA) we send you information about request person.

Alexander Zhirnov was born on 01.01.1972 in , .

He opened bank account on August 29, Hinduja bank.LTD (SWIFT CODE: ABSGCHGG).

Besides, **his daughter**, Catherine Zhirnova, born on 08.15.1988 in , , owns bank account in Hinduja bank.LTD. Mrs. Zhirnova has dual citizenship: Russian and American.

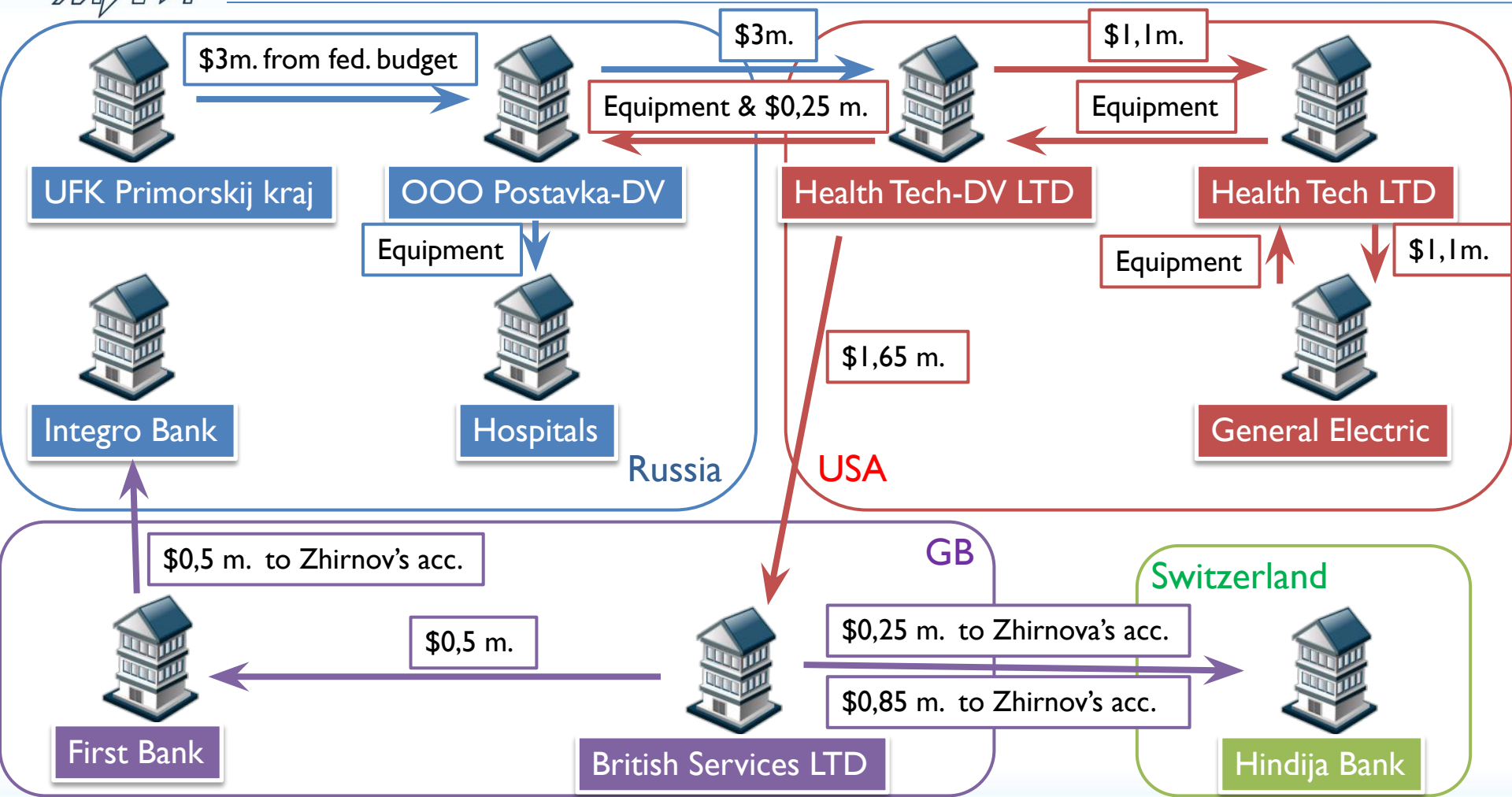
<...>



Step 4. Stage 8: hypotheses testing

Hypotheses Testing		
Evidence/Red Flags	Hypotheses	
	Zhirnova and Zhirnov are relatives and have a conspiracy	Zhirnova and Zhirnov are not connected
1. The same surname	C	C
2. Federal Treasury and HealthTech-DV are connected through Postavka-DV	C	C
3. British Services transferred money to Zhirnov's and Zhirnova's accounts	C	C
4. Zhirnova is a daughter of Zhirnov	C	I
Confirmed?	YES	NO

Conclusion





Case Review

1. Well-prepared and interesting case
 2. All the necessary information
 3. Timely responses
-



Thank you for your attention!

www.ifes.mephi.ru